



## Additional Governance Disclosures

### Statement on Annual Evaluations by our Board of Directors

Annual evaluations by Dana's Board of Directors are the foundation for setting board development priorities and they encourage collaborative decision making and high performance by individual directors, which strengthens the full board's governance performance to ensure good corporate governance practices. The annual evaluations allow the Board to reflect internally, refresh its understanding of its role and responsibilities, evaluate its performance and consider improvements.

Each December, Dana's Board of Directors complete individual annual evaluations, both for the full board and for the respective board committees on which they serve. Following the completion of the annual evaluations, the results of the annual evaluations are shared with the full Board of Directors for their consideration and action.

### Statement on Information Security

Dana's Board of Directors regularly reviews and oversees the Company's information security programs and is regularly briefed on information security matters by Dana executives, including the Chief Information Officer, during Board meetings (at least five times per year).

Ninety percent of the Board of Directors and one hundred percent of the Board's Technology & Sustainability Committee are independent.

Dana has not experienced any information security breaches, and has not incurred any net expenses, penalties, or settlements from any security breaches.

Dana maintains insurance coverage for certain aspects of information security-related risks. However, such insurance coverage may be unavailable or insufficient to cover all losses or all types of claims that may arise in the expanding area of cyber risk.

Dana has formally adopted the NIST (National Institute of Standards and Technology) Cybersecurity and Risk Management Framework. Information security processes are measured and evaluated periodically by 3<sup>rd</sup> parties and continuously improved. Cybersecurity risks are continuously monitored and reported to senior management in the technology and cybersecurity function.

Dana conducts periodic audits using external advisors to review cybersecurity process maturity against the NIST framework. In addition, Dana received a 3-year TISAX cybersecurity label/certification.



The ENX Association supports with TISAX (Trusted Information Security Assessment Exchange) the common acceptance of Information Security Assessments in the automotive industry. TISAX is a commonly used cybersecurity framework for assessing information security for the wide landscape of suppliers, OEMs, and partners that contribute to the automobile supply chain.

**Dana Limited** is a TISAX Participant with the Participant ID: PR4R78. The TISAX Assessment with the Scope ID: SL8F4P and Assessment ID: ATZTFV-3 was conducted by an accredited audit provider.

The result is exclusively retrievable over the ENX Portal. ENX Association governs TISAX on behalf of the German VDA. TISAX and TISAX results are not intended for general public.

Dana also provides annual mandatory training programs on information security to its employees and makes supplemental training programs available each year. Training is administered and tracked through online learning modules. Training topics include how to escalate suspicious activities including phishing, viruses, spams, insider threats, suspect human behaviors or safety issues. Training is supplemented by phishing awareness campaigns. In addition, Dana directors, executives, and other leaders receive regular cybersecurity awareness updates and participate in periodic cybersecurity awareness training and tabletop exercises at least annually with assistance from outside experts. Lessons learned from these activities are incorporated into management's ongoing evaluation of cybersecurity risks and mitigation activities.

## **Statement on Environmental Management**

Dana's Environmental Policy requires compliance with applicable environmental laws and regulations. We also strive to minimize waste, prevent pollution, and reduce energy usage. We expect that our suppliers will also align with these important goals, as well as adopt/maintain their own environmental policies. Ninety-two percent of Dana's key suppliers have currently implemented an environmental policy.



Dana also encourages its suppliers to seek external verification of their environmental performance, such as through the ISO 14001 certification process. Forty-six percent of Dana's key suppliers have currently obtained third party certification of their ISO 14001 environmental management systems.

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